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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/694,739	10/29/2003	Akihito Kubota	N3236.0043	5292
32172	7590	08/16/2005	EXAMINER	
DICKSTEIN SHAPIRO MORIN & OSHINSKY LLP 1177 AVENUE OF THE AMERICAS (6TH AVENUE) 41 ST FL. NEW YORK, NY 10036-2714			TRAIL, ALLYSON NEEL	
			ART UNIT	PAPER NUMBER
			2876	

DATE MAILED: 08/16/2005

Please find below and/or attached an Office communication concerning this application or proceeding.

<b>Office Action Summary</b>	<b>Application No.</b> 10/694,739	<b>Applicant(s)</b> KUBOTA, AKIHITO	
	<b>Examiner</b> Allyson N. Trail	<b>Art Unit</b> 2876	

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

#### Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If the period for reply specified above is less than thirty (30) days, a reply within the statutory minimum of thirty (30) days will be considered timely.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

#### Status

- 1) ☒ Responsive to communication(s) filed on 23 June 2005.
- 2a) ☒ This action is **FINAL**.                      2b) ☐ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

#### Disposition of Claims

- 4) ☒ Claim(s) 1-19 is/are pending in the application.
- 4a) Of the above claim(s) \_\_\_\_\_ is/are withdrawn from consideration.
- 5) ☐ Claim(s) \_\_\_\_\_ is/are allowed.
- 6) ☒ Claim(s) 1-19 is/are rejected.
- 7) ☐ Claim(s) \_\_\_\_\_ is/are objected to.
- 8) ☐ Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

#### Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☒ The drawing(s) filed on 29 October 2003 is/are: a) ☒ accepted or b) ☐ objected to by the Examiner.  
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

#### Priority under 35 U.S.C. § 119

- 12) ☒ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☒ All    b) ☐ Some \*    c) ☐ None of:
1. ☒ Certified copies of the priority documents have been received.
2. ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).
- \* See the attached detailed Office action for a list of the certified copies not received.

#### Attachment(s)

- |  |   |
|--|---|
| 1) <input type="checkbox"/> Notice of References Cited (PTO-892)   | 4) <input type="checkbox"/> Interview Summary (PTO-413)<br>Paper No(s)/Mail Date. _____ |
| 2) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948)   | 5) <input type="checkbox"/> Notice of Informal Patent Application (PTO-152)             |
| 3) <input checked="" type="checkbox"/> Information Disclosure Statement(s) (PTO-1449 or PTO/SB/08)<br>Paper No(s)/Mail Date <u>04-2005</u> . | 6) <input type="checkbox"/> Other: _____  |

## DETAILED ACTION

### *Amendment*

- 1 Receipt is acknowledged of the Amendment filed June 23, 2005.

### ***Claim Rejections - 35 USC § 103***

2. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

3. Claims 1-19 are rejected under 35 U.S.C. 103(a) as being unpatentable over Lynggaard (2003/0138144) in view of Kagehiro et al (2003/0044068).

Lynggaard teaches the following in regards to claims 1, 2, 6, 7, 11, 15, and 16:

"The present invention relates to a method, a system and a computer-readable medium storing computer-executable components for improving a character recognition process. The invention is based on the idea that a sequence of characters is received from a character recognition process and compared to predetermined strings of characters. A measure of matching is generated for each string of characters that is compared to the sequence, indicating which one of the compared strings that best matches the sequence. Based on the measure of matching, a sequence of characters is provided, comprising at least one string selected from the predetermined strings, thereby creating an output sequence of characters. Thus, it is possible to correct an

erroneous sequence of characters received from the character recognition process by providing a predetermined string of characters, which string has the highest probability of matching the handwritten text fed to the character recognition process.” (Abstract).

“According to even further embodiments of the invention, the output sequence of characters is presented to the user on a display of a device, such as a mobile phone, a PDA, a laptop or a digital pen etc.” (Page 1, paragraph 0012).

“After the identification of segments, said segments are to be compared with preloaded strings contained in tables, which tables are located in a database or some other equivalent storage means. The database or its equivalent is located in the pen or in some external device in connection to the pen, such as a mobile phone, a PDA, a computer or a server. The preloaded strings consist of preloaded e-mail addresses which, of course, are known to be correct. The object is to find strings in the tables that matches what the user actually wrote, thereby correcting a potentially erroneous output from the character recognition process.” (Page 3, paragraph 0027).

Lynggaard teaches the following in regards to claims 5, 10, 14, and 19:

“According to an embodiment of the invention the received sequence of characters consists of an e-mail address and the identification of segments in the sequence is performed by delimiting said segments with the characters "@" and This is an easy way to identify segments in an e-mail address, but the present invention could be applied on other formats as well, such as web addresses,

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intranet addresses and WAP addresses, as long as it is possible to segment the sequence of characters." (Page 1, paragraph 0009).

Lynggaard teaches the following in regards to claim 3, 4, 8, 9, 12, 13, 17, and 18, (the limitation of the display disclosed in claims 9 and 13 is taught by Lynggaard, see Lynggaard's teachings in reference to claim 1):

"FIG. 2 shows a character recognition process, wherein every letter is handwritten in a predetermined e-mail address field. A character recognition process outputs a list of characters for each position, and an actual matching probability for each character. The sequence of characters that the character recognition process proposes is the sequence that is made up of the characters that has the highest matching probability in each position." (Page 2, paragraph 0025).

"For example, segment A output from the character recognition process is identified as "jobn". This segment is compared to the strings of characters in Tab A. By comparing the segment to the strings in Tab A on a character by character basis, the string "john" matches the segment "jobn" to a measure of 75%, under the condition that each of the characters "j", "o", and "n" is given a matching probability of 1 (100%), respectively. In other words, they are considered exact matches. If a character is not considered to be an exact match, it is given, in this specific example, a matching probability of 0. The measure of matching is calculated as  $(1+1+0+1)/4=75\%$ . The other segments, "hotmail" and "com" are compared to the strings in Tab C and Tab B, respectively. Each of the characters in these two segments is considered to be an exact match, and thus

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the measure of matching for these two segments is 100%, respectively, since these exact two strings exist in the tables. This specific embodiment is advantageous since a measure of matching can be calculated in a simple manner by giving, for each character in a specific position where there is a match between the segment and the-string, the character the matching probability 1. Each character in a specific position where there is no match is given the matching probability 0." (Page 3, paragraph 0029).

Lynggaard fails to teach the cellular phone including an image capturing device for capturing a character string.

Kagehiro et al teaches the following in regards to claims 1-19:

"The invention relates to a mobile device with a built-in image capture device, and a character recognition function to present the information gathered with the character recognition result." (Abstract).

Kagehiro et al further teaches the following:

"A mobile device, such as a mobile phone, with a built-in image capture device is widespread due to the increase in CPU performance, and the price reduction of a CCD or the like. By capturing an image including a character string noted by a user, and recognizing the character string using the mobile device with a built-in image capture device, it becomes possible to extract the information related to the character string from a network." (Paragraph 0001).

In view of Kagehiro et al's teachings it would have been obvious to one of ordinary skill in the art at the time the invention was made to combine Lynggaard's method of correcting incorrectly recognized character string as

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entered into the cellular phone with Kageheiro et al's cellular phone built-in image capture device used for character recognition. Lynggaard teaches above entering a character sting into a cellular phone and providing a means for correctly identifying the character string entered. Both Lynggaard and Kageheiro et al teach capturing character stings into a cellular phone and a character recognition process. Lynggaard enters characters with a keypad entry and Kageheiro et al with an image capturing device. One would be motivated to include in Lynggaard's cellular phone an image capturing means in order to more quickly enter a desired character string.

#### ***Response to Arguments***

4. Applicant's arguments filed June 23, 2005 have been fully considered, however are not persuasive. The prior art applied in the previous Office action, Lynggaard in combination with Kagehiro et al fully teach the limitations disclosed in claims 1-19 of the current invention. As explained above one would be motivated to combine the two teachings in order equip Lynggaard's cellular phone with the ease of capturing text by using an image capturing device (as taught by Kagehiro) as opposed to manually entering the text into the phone.

#### ***Conclusion***

5. **THIS ACTION IS MADE FINAL.** Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).

A shortened statutory period for reply to this final action is set to expire THREE MONTHS from the mailing date of this action. In the event a first reply is filed within TWO MONTHS of the mailing date of this final action and the advisory

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action is not mailed until after the end of the THREE-MONTH shortened statutory period, then the shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of the advisory action. In no event, however, will the statutory period for reply expire later than SIX MONTHS from the mailing date of this final action.

6. The prior art made of record and not relied upon is considered pertinent to applicant's disclosure: Nichols et al (6,547,129), Huang et al (5,491,325), Nethery (6,070,798), Reber et al (2002/0129121), Thomson et al (5,121,945), Roberts (2003/0117635), and Thaxton (2003/0035539).

7. Any inquiry concerning this communication or earlier communications from the examiner should be directed to *Allyson N. Trail* whose telephone number is (571) 272-2406. The examiner can normally be reached between the hours of 7:30AM to 4:00PM Monday thru Friday.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Michael G. Lee, can be reached on (571) 272-2398. The fax phone number for this Group is (571) 273-8300.

Communications via Internet e-mail regarding this application, other than those under 35 U.S.C. 132 or which otherwise require a signature, may be used by the applicant and should be addressed to [[allyson.trail@uspto.gov](mailto:allyson.trail@uspto.gov)].

*All Internet e-mail communications will be made of record in the application file. PTO employees do not engage in Internet communications*



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*where there exists a possibility that sensitive information could be identified or exchanged unless the record includes a properly signed express waiver of the confidentiality requirements of 35 U.S.C. 122. This is more clearly set forth in the Interim Internet Usage Policy published in the Official Gazette of the Patent and Trademark on February 25, 1997 at 1195 OG 89.*

Allyson N. Trail  
Patent Examiner  
Art Unit 2876  
August 5, 2005

*Jared J. Furman*  
**JARED J. FUREMAN**  
**PRIMARY EXAMINER**